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Exact matter-covariant formulation of neutrino oscillation probabilities

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Abstract

We write the probabilities for neutrino oscillations in uniform-density matter exactly in terms of convention-independent vacuum neutrino oscillation parameters and the matter density. This extends earlier results formulating neutrino oscillations in terms of matter-, phase-, and trace-invariant quantities.

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1. Introduction

The advent of oscillation experiments in which the neutrinos traverse significant distances in terrestrial matter before observation make the relationship between the vacuum oscillation parameters and the oscillation observables in matter of considerable interest. Some of us recently pointed out [1,2] the significance here of matter-invariants: neutrino oscillation parameters which are invariant under the influence of matter may be used to simplify the relationship between neutrino oscillation observables and the vacuum neutrino oscillation parameters.

The effective neutrino oscillation Hamiltonian in the flavour basis (the weak basis in which the charged lepton mass matrix is diagonal) is given by $H = MM^\dagger/2E$, where M is the neutrino mass matrix and E is the neutrino energy. In vacuum, this is diagonalised by the conventional MNS mixing matrix [3], $U: U^\dagger H U = \text{diag}(\lambda_1, \lambda_2, \lambda_3)$, where the $\lambda_i = m_i^2/2E$ are the vacuum eigenvalues. The effects of matter on the propagation of neutrinos is described by the addition of the Wolfenstein term, $a = \sqrt{2} G_F N_e$ (N_e is the number density of electrons in the matter), to the H_{ee} element

$$\tilde{H} = H + \text{diag}(a, 0, 0) \quad (1)$$

which modifies the eigenvalues and the elements of the MNS matrix in a non-trivial way. We denote matter-modified parameters by quantities with a \sim .

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The electron density in matter is not C -, CP - or CPT -symmetric: for antineutrino propagation, a in Eq. (1) keeps its magnitude but changes sign. Thus, the matter-modified eigenvalues and mixing matrix for antineutrinos are different from those for neutrinos. We treat the general case, leaving the matter density, a , a free parameter, and comment further on the relationship between the neutrino and antineutrino cases in Appendix A.

The formula for the appearance and survival probabilities as a function of propagation distance, L , when neutrinos pass through uniform density matter may be written in its usual form, but in terms of the matter-modified parameters as follows:

$$\tilde{P}(v_\alpha \rightarrow v_\beta) = \delta_{\alpha\beta} - 4 \sum_{i < j} \tilde{K}_{\alpha\beta}^{ij} \sin^2(\tilde{\Delta}_{ij}L/2) + 8\tilde{J}_{\alpha\beta} \sin(\tilde{\Delta}_{12}L/2) \sin(\tilde{\Delta}_{23}L/2) \sin(\tilde{\Delta}_{31}L/2), \quad (2)$$

where the

$$\tilde{K}_{\alpha\beta}^{ij} = \text{Re}(\tilde{U}_{\alpha i} \tilde{U}_{\beta i}^* \tilde{U}_{\alpha j}^* \tilde{U}_{\beta j}), \quad (3)$$

parameterise the magnitudes of the T -even oscillations and

$$\tilde{J}_{\alpha\beta} = \text{Im}(\tilde{U}_{\alpha i} \tilde{U}_{\beta i}^* \tilde{U}_{\alpha j}^* \tilde{U}_{\beta j}) = \begin{cases} \pm \tilde{J} & (\text{for } \alpha \neq \beta), \\ 0 & (\text{for } \alpha = \beta) \end{cases} \quad (4)$$

parameterises the magnitude of the T -odd oscillations.¹ The eigenvalue differences in matter, $\tilde{\Delta}_{ij} \equiv (\tilde{m}_i^2 - \tilde{m}_j^2)/2E$ may be calculated in terms of the vacuum parameters, Δ_{ij} and $U_{\alpha i}$, and the Wolfenstein term, a , using the solutions of the cubic characteristic equation of the matter-modified Hamiltonian [4,5]. The matter-modified MNS matrix elements, $\tilde{U}_{\alpha i}$, may be similarly calculated, but are rather complicated functions [5] of the vacuum parameters and the Wolfenstein term. It is the aim of this Letter to simplify as much as possible the relationship between the observable oscillation amplitudes in matter, $4\tilde{K}_{\alpha\beta}^{ij}$ and $8\tilde{J}$, and the vacuum parameters.

2. Matter invariance

The idea of matter-invariance is based on the observation that all quantities $\tilde{H}_{\alpha\beta}$ in Eq. (1) other than \tilde{H}_{ee} are matter-invariant, and appropriately combined, can be related to observable parameters (H_{ee} , because of its trivial transformation in matter, may be said to be “matter-covariant”). The first application of these ideas showed that Jarlskog’s determinant [6] was matter-invariant and led to the so-called NHS relation [1]

$$\tilde{\Delta}_{12}\tilde{\Delta}_{23}\tilde{\Delta}_{31}\tilde{J} = \text{Im}(\tilde{H}_{e\mu}\tilde{H}_{\mu\tau}\tilde{H}_{\tau e}) = \text{Im}(H_{e\mu}H_{\mu\tau}H_{\tau e}) = \Delta_{12}\Delta_{23}\Delta_{31}J. \quad (5)$$

This relation was used to write exactly the T -violating part of the matter-modified oscillation probability, Eq. (2), in a very simple and compact form

$$\tilde{P}_T(v_\alpha \rightarrow v_\beta) = 8 \frac{\Delta_{12}\Delta_{23}\Delta_{31}}{\tilde{\Delta}_{12}\tilde{\Delta}_{23}\tilde{\Delta}_{31}} J \sin(\tilde{\Delta}_{12}L/2) \sin(\tilde{\Delta}_{23}L/2) \sin(\tilde{\Delta}_{31}L/2) \quad (\alpha \neq \beta). \quad (6)$$

The advantage of this formulation is that it does not require the matter-modified MNS matrix elements, whose expressions in terms of vacuum parameters are quite complicated [5]. The matter-dependence is confined to the eigenvalue differences, $\tilde{\Delta}_{ij}$, for which it is somewhat more straightforward [4,5].

The matter-invariant approach was subsequently extended [2] to the T -even part of the oscillation probability, for which analogous (but less simple) expressions to Eq. (5) were found. Analogues of Eq. (6), valid in approximation were obtained, but exact formulations were not.

¹ We prefer the “ T -even” and “ T -odd” labels to the “ CP -even” and “ CP -odd” ones, since matter introduces an extrinsic CP -odd contribution into the intrinsically CP -even terms, while spherically-symmetric matter profiles respect T -invariance for neutrino propagation between points at equal radii (e.g., on the surface of the Earth).

The matter-invariant approach was next applied ingeniously [7] to provide, after a lengthy derivation, exact expressions for the T -conserving coefficients [8] in terms of the effective Hamiltonian elements and the matter-dependent eigenvalues

$$\tilde{K}_{\alpha\beta}^{ij} = \frac{|\tilde{H}_{\alpha\beta}|^2 \tilde{\lambda}_i \tilde{\lambda}_j + |\tilde{Q}_{\alpha\beta}|^2 + \text{Re}(\tilde{H}_{\alpha\beta} \tilde{Q}_{\alpha\beta}^*) (\tilde{\lambda}_i + \tilde{\lambda}_j)}{\tilde{\Delta}_{12} \tilde{\Delta}_{23} \tilde{\Delta}_{31} \tilde{\Delta}_{ij}} \quad (\alpha \neq \beta). \quad (7)$$

Here $\tilde{Q}_{\alpha\beta}^*$ is the cofactor of $\tilde{H}_{\alpha\beta}$ and is matter-invariant for α or $\beta = e$, while $\tilde{H}_{\alpha\beta}$ is matter-invariant for α or $\beta \neq e$. For the two off-diagonal cases with $\{\alpha, \beta\} = \{e, \mu\}$ or $\{e, \tau\}$ (the $\tilde{K}_{\alpha\beta}^{ij}$ are symmetric under the interchange of α and β), this approach isolates the matter-dependence to the $\tilde{\lambda}_i$ alone, in the same spirit as, e.g., Eq. (6) isolates it to the $\tilde{\Delta}_{ij}$. However, for the case $\{\alpha, \beta\} = \{\mu, \tau\}$, $\tilde{Q}_{\alpha\beta}$ is not matter-invariant, which complicates the formulation, and spoils the symmetry of formulation between the different components.

Neutrino oscillation observables can depend only on the differences between mass-squared eigenvalues and so must be “trace-invariant”, i.e., invariant under transformations in which any multiple of the identity is added to the effective neutrino Hamiltonian; a change in the trace is equivalent to a change in the overall phase of the neutrino propagation amplitude. Observables are, in addition, “phase-invariant”, i.e., invariant under phase transformations of the neutrino mass eigenstates. Hence, it must be possible to write the relationship between the observables and the vacuum parameters entirely in terms of trace- and phase-invariant quantities. Eqs. (5) and (6) are examples of this. While the particular combination given in Eq. (7) is of course both trace- and phase-invariant, this formulation suffers the difficulty that neither the $\tilde{Q}_{\alpha\beta}$ nor the $\tilde{\lambda}_i$ are trace-invariant. These individual quantities cannot therefore be related to observables of neutrino oscillations. Before the values of the $\tilde{Q}_{\alpha\beta}$ or the $\tilde{\lambda}_i$ can be specified, an artificial offset of the neutrino masses must be chosen. In the applications cited in [7] the offset is arbitrarily set so that $m_1^2 = 0$ in vacuum.

In the remainder of this Letter, we provide a unified formulation, using matter-invariants which are trace- and phase-invariant. The matter-dependence is isolated in factors which depend only on the eigenvalue differences, $\tilde{\Delta}_{ij}$, and the matter density itself. We find the exact T -even analogues of Eqs. (5) and (6), and hence exact convention-independent, matter-covariant expressions for the observable neutrino oscillation probabilities in terms of vacuum parameters.

3. Matter-covariant derivation of oscillation probabilities in uniform density matter

We provide a matter-covariant derivation of the neutrino oscillation probabilities given in Eq. (2). The amplitude $\tilde{\mathcal{A}}_{\alpha\beta}$ for a neutrino of flavour α to be detected as a neutrino of flavour β in matter of uniform density is given as a function of propagation distance L by the (matrix) equation

$$\tilde{\mathcal{A}} = \exp(-i \tilde{H} L), \quad (8)$$

where \tilde{H} is the effective neutrino oscillation Hamiltonian of Eq. (1). The general theory for a function of an operator [9] enables the exponentiation to be performed directly in the flavour basis

$$\tilde{\mathcal{A}} = \sum_i \tilde{X}^i \exp(-i \tilde{\lambda}_i L), \quad (9)$$

where the $\tilde{\lambda}_i$ are the eigenvalues of \tilde{H} and the Hermitian projection operators \tilde{X}^i are given by

$$\tilde{X}^i = \frac{\prod_{j \neq i} (\tilde{H} - \tilde{\lambda}_j)}{\prod_{j \neq i} (\tilde{\lambda}_i - \tilde{\lambda}_j)} \quad (10)$$

$$= \frac{(\tilde{H} - \tilde{\lambda}_j)(\tilde{H} - \tilde{\lambda}_k)}{\tilde{\Delta}_{ij} \tilde{\Delta}_{ik}} \quad (j \neq k \neq i). \quad (11)$$

The second equality is specific to three families of neutrinos. Comparison with the more conventional approach in which the Hamiltonian is diagonalised before exponentiation allows us to identify the elements of the \tilde{X}^i with the familiar combinations of the lepton mixing matrix elements [10]

$$\tilde{X}_{\alpha\beta}^i = \tilde{U}_{\alpha i} \tilde{U}_{\beta i}^* \quad (12)$$

(no summation over i is implied). The $\tilde{X}_{\alpha\beta}^i$ for $\alpha \neq \beta$ are not phase-invariant, and are therefore not observables (although the diagonal elements $\tilde{X}_{\alpha\alpha}^i = |\tilde{U}_{\alpha i}|^2$ are). All components of the \tilde{X}^i are however trace-invariant, this property being manifest in the combinations $(\tilde{H} - \tilde{\lambda}_i)$ which appear in Eqs. (10) and (11) (or in the fact that diagonalisation of \tilde{H} , yielding the \tilde{U} 's appearing in Eq. (12), is a trace-invariant process).

The neutrino oscillation probabilities of Eq. (2) are given by the squared amplitude $\tilde{P}(v_\alpha \rightarrow v_\beta) = |\tilde{\mathcal{A}}_{\alpha\beta}|^2$, which contains real and imaginary projections, $\tilde{K}_{\alpha\beta}^{ij}$ and \tilde{J} respectively, of the phase-, trace-, and convention-independent plaquettes [11]

$$\tilde{U}_{\alpha i} \tilde{U}_{\beta i}^* \tilde{U}_{\alpha j} \tilde{U}_{\beta j}^* = \tilde{X}_{\alpha\beta}^i \tilde{X}_{\alpha\beta}^{j*} \quad (i \neq j). \quad (13)$$

Comparing Eqs. (12) and (13), one sees that the $\tilde{X}_{\alpha\beta}^i$ represent an intermediate calculational step between the $\tilde{U}_{\alpha i}$ and the observable plaquettes. Unlike the $\tilde{U}_{\alpha i}$ and the plaquettes however, the $\tilde{X}_{\alpha\beta}^i$ have a simple unitarity relation: $\sum_i \tilde{X}_{\alpha\beta}^i = \delta_{\alpha\beta}$. The \tilde{X} 's close the relation among the fundamental parameters \tilde{H} and $\tilde{\lambda}$: the relations

$$\tilde{\lambda}_i = \text{Tr}(\tilde{X}^i \tilde{H}), \quad (14)$$

and

$$(\tilde{H} - \tilde{\lambda}_k) = \sum_i \tilde{\Delta}_{ik} \tilde{X}^i \quad \text{for given } k, \quad (15)$$

together with Eq. (11) demonstrate the equal status of the \tilde{X} , \tilde{H} , and $\tilde{\lambda}$. Any pair of these sets of quantities encapsulates equivalent information.

We now proceed to develop Eq. (11) by explicitly calculating the $\tilde{X}_{\alpha\beta}^i$ in terms of the \tilde{H} and $\tilde{\Delta}$ elements. Following this, we relate the matter and vacuum values of the X 's. From Eq. (11), we have for the case $\alpha \neq \beta$,

$$\tilde{\Delta}_{ij} \tilde{\Delta}_{ik} \tilde{X}_{\alpha\beta}^i = [\tilde{H}^2 - (\tilde{\lambda}_j + \tilde{\lambda}_k) \tilde{H}]_{\alpha\beta} = \tilde{H}_{\alpha\gamma} \tilde{H}_{\gamma\beta} - (\tilde{H}_{\gamma\gamma} - \tilde{\lambda}_i) \tilde{H}_{\alpha\beta} \quad (\alpha \neq \beta \neq \gamma, i \neq j \neq k), \quad (16)$$

where we have used the fact that $\text{Tr}(\tilde{H}) \equiv \tilde{T} = \sum_\alpha \tilde{H}_{\alpha\alpha} = \sum_i \tilde{\lambda}_i$. Eq. (16) was obtained in [7], in a less straightforward manner. We note that on the right-hand side, all factors are matter-independent except for $(\tilde{H}_{\gamma\gamma} - \tilde{\lambda}_i)$. This factor is also problematic, as it contains the $\tilde{\lambda}_i$, which are not directly observable in neutrino oscillations. We deal with both problems by the substitution in terms of observable quantities

$$(\tilde{H}_{\gamma\gamma} - \tilde{\lambda}_i) = \left(H_{\gamma\gamma} - \frac{1}{3} \tilde{T} \right) - \tilde{\Lambda}_i + a v_\gamma, \quad (17)$$

where the

$$\tilde{\Lambda}_i \equiv \frac{1}{3} (\tilde{\Delta}_{ij} + \tilde{\Delta}_{ik}) \quad (j \neq k \neq i), \quad (18)$$

are the eigenvalues of the reduced matter-dependent Hamiltonian $(\tilde{H} - \frac{1}{3} \tilde{T})$, and v_γ is the “ γ ” element of the vector

$$\underline{v} = \left(\frac{2}{3}, -\frac{1}{3}, -\frac{1}{3} \right) \quad (19)$$

which breaks the symmetry between the three lepton flavours for $a \neq 0$. The matter-dependence in Eq. (17) has been isolated to its last two terms. Substitution from Eq. (17) in Eq. (16) shows that the quantities

$$\begin{aligned}\tilde{R}_{\alpha\beta} &\equiv \tilde{\Delta}_{ij} \tilde{\Delta}_{ik} \tilde{X}_{\alpha\beta}^i + (av_\gamma - \tilde{\Lambda}_i) \tilde{H}_{\alpha\beta} \quad (\alpha \neq \beta \neq \gamma, i \neq j \neq k) \\ &= \tilde{H}_{\alpha\gamma} \tilde{H}_{\gamma\beta} - \left(H_{\gamma\gamma} - \frac{1}{3}T \right) \tilde{H}_{\alpha\beta} = H_{\alpha\gamma} H_{\gamma\beta} - \left(H_{\gamma\gamma} - \frac{1}{3}T \right) H_{\alpha\beta}\end{aligned}\quad (20)$$

are matter-invariant, i.e., $\tilde{R}_{\alpha\beta} = R_{\alpha\beta}$ for all $\alpha \neq \beta$.

We can now relate the matter values \tilde{X}^i and the vacuum values X^i

$$\tilde{X}_{\alpha\beta}^i = \frac{\Delta_{ij} \Delta_{ik} X_{\alpha\beta}^i + (\tilde{\Lambda}_i - \Lambda_i - av_\gamma) H_{\alpha\beta}}{\tilde{\Delta}_{ij} \tilde{\Delta}_{ik}} \quad (\alpha \neq \beta \neq \gamma, j \neq k \neq i). \quad (21)$$

The matter-invariants appearing in Eqs. (20) and (21) may themselves be expanded in terms of vacuum values of Δ 's and X 's

$$\tilde{H}_{\alpha\beta} = H_{\alpha\beta} = \frac{1}{3} \sum_{i,j,k}^{\text{cyclic}} (\Delta_{ij} + \Delta_{ik}) X_{\alpha\beta}^i = \sum_i^{k \text{ fixed}} \Delta_{ik} X_{\alpha\beta}^i \quad (\alpha \neq \beta), \quad (22)$$

$$\tilde{R}_{\alpha\beta} = R_{\alpha\beta} = \frac{1}{3} \sum_{i,j,k}^{\text{cyclic}} \Delta_{ij} \Delta_{ik} X_{\alpha\beta}^i = \frac{1}{3} \sum_{i \neq j \neq k}^{k \text{ fixed}} \Delta_{ik} (\Delta_{ij} + \Delta_{kj}) X_{\alpha\beta}^i \quad (\alpha \neq \beta), \quad (23)$$

where the former is obtained by summing Eq. (15) over k (with $a = 0$) and using unitarity, and the latter by summing the first line of Eq. (20) over i (again with $a = 0$) and using the fact that $\sum_i \Lambda_i = 0$. Using Eqs. (20) or (21) with Eqs. (22) and (23) allows the matter-modified values $\tilde{X}_{\alpha\beta}^i = \tilde{U}_{\alpha i} \tilde{U}_{\beta i}^*$ to be calculated without solving for the $\tilde{U}_{\alpha i}$ themselves and without any dependence on the offset of the eigenvalues.

We remark that the $\tilde{X}_{\alpha\beta}^i$, $X_{\alpha\beta}^i$, $H_{\alpha\beta}$ and $R_{\alpha\beta}$ ($\alpha \neq \beta$) are not observable, all having a common phase-convention dependence. Rather, the $\tilde{X}_{\alpha\beta}^i$ are to be considered as building blocks of the observables, $\tilde{K}_{\alpha\beta}^{ij}$. The diagonal components, $\tilde{X}_{\alpha\alpha}^i = |\tilde{U}_{\alpha i}|^2$, are however observable; their calculation by a similar method is discussed in Appendix B.

It is now easy to calculate the T -conserving and T -violating oscillation coefficients $\tilde{K}_{\alpha\beta}^{ij} = \text{Re}(\tilde{X}_{\alpha\beta}^i \tilde{X}_{\alpha\beta}^{j*})$ and $\tilde{J} = \text{Im}(\tilde{X}_{\alpha\beta}^i \tilde{X}_{\alpha\beta}^{j*})$ in similar terms and exhibit their matter-dependences. From Eq. (20) we find

$$\tilde{K}_{\alpha\beta}^{ij} = \frac{\tilde{A}_\gamma^k |H_{\alpha\beta}|^2 + \tilde{B}_\gamma^k \text{Re}(H_{\alpha\beta} R_{\alpha\beta}^*) + |R_{\alpha\beta}|^2}{\tilde{\Delta}_{12} \tilde{\Delta}_{23} \tilde{\Delta}_{31} \tilde{\Delta}_{ij}} \quad (\alpha \neq \beta), \quad (24)$$

where all terms and factors are independently observable and the matter-dependence is confined to the coefficients

$$\tilde{A}_\gamma^k = \tilde{\Lambda}_i \tilde{\Lambda}_j + av_\gamma \tilde{\Lambda}_k + a^2 (v_\gamma)^2 = \frac{1}{9} (-2\tilde{\Delta}_{ij}^2 + \tilde{\Delta}_{ki} \tilde{\Delta}_{kj}) + \frac{1}{3} av_\gamma (\tilde{\Delta}_{ki} + \tilde{\Delta}_{kj}) + \frac{1}{3} a^2 \left(v_\gamma + \frac{2}{3} \right), \quad (25)$$

$$\tilde{B}_\gamma^k = -(\tilde{\Lambda}_k + 2av_\gamma) = -\frac{1}{3} (\tilde{\Delta}_{ki} + \tilde{\Delta}_{kj}) - 2av_\gamma \quad (i \neq j \neq k) \quad (26)$$

and the denominator. There is thus no dependence on the matter-modified mixing matrix elements, the matter-dependence entering only via the explicit a -dependent terms and the $\tilde{\Delta}_{ij}$, which are given by standard expressions in terms of vacuum parameters and the matter density in [4,5]. Eq. (24) is similar to the exact formula for the T -even oscillations, Eq. (7), except that here, Eq. (24) is composed of explicitly observable quantities, and the matter-dependence has been isolated for all $\alpha \neq \beta$.

Similarly

$$\begin{aligned}\tilde{J} &= \frac{(\tilde{\Lambda}_i - \tilde{\Lambda}_j) \text{Im}(H_{\alpha\beta} R_{\alpha\beta}^*)}{\tilde{\Delta}_{12} \tilde{\Delta}_{23} \tilde{\Delta}_{31} \tilde{\Delta}_{ij}} \quad (\alpha \neq \beta) \\ &= \pm \frac{\text{Im}(H_{e\mu} H_{\mu\tau} H_{\tau e})}{\tilde{\Delta}_{12} \tilde{\Delta}_{23} \tilde{\Delta}_{31}},\end{aligned}\quad (27)$$

where we have used the relations

$$H_{\alpha\beta} R_{\alpha\beta}^* = (H_{e\mu} H_{\mu\tau} H_{\tau e})^{(*)} - \left(H_{\gamma\gamma} - \frac{1}{3}\mathcal{T}\right) |H_{\alpha\beta}|^2 \quad (28)$$

and

$$(\tilde{\Lambda}_i - \tilde{\Lambda}_j) = \tilde{\Delta}_{ij}. \quad (29)$$

Eq. (27) is simply the well-known result which leads to the NHS relation [1,7,12] of Eq. (5) above.

4. Exact oscillation probabilities in terms of vacuum parameters

Using Eq. (24), we can now solve for the matter-dependence of the $\tilde{K}_{\alpha\beta}^{ij}$ in terms of the vacuum $K_{\alpha\beta}^{ij}$

$$\tilde{\Delta}_{12} \tilde{\Delta}_{23} \tilde{\Delta}_{31} \tilde{\Delta}_{ij} \tilde{K}_{\alpha\beta}^{ij} = \Delta_{12} \Delta_{23} \Delta_{31} \Delta_{ij} K_{\alpha\beta}^{ij} + \kappa_{\alpha\beta}^{ij} \quad (\alpha \neq \beta), \quad (30)$$

where

$$\kappa_{\alpha\beta}^{ij} = (\tilde{A}_\gamma^k - A_\gamma^k) |H_{\alpha\beta}|^2 + (\tilde{B}_\gamma^k - B_\gamma^k) \text{Re}(H_{\alpha\beta} R_{\alpha\beta}^*) \quad (\alpha \neq \beta \neq \gamma, i \neq j \neq k) \quad (31)$$

with \tilde{A}_γ^k and \tilde{B}_γ^k given in Eqs. (25) and (26) and $A_\gamma^k = \frac{1}{9}(-2\Delta_{ij}^2 + \Delta_{ik}\Delta_{jk})$ and $B_\gamma^k = -\frac{1}{3}(\Delta_{ki} + \Delta_{kj})$ (which do not depend on γ). Eq. (30) is the exact T -even analogue of the T -odd invariance, Eq. (5). It is slightly more complicated in the sense that the matter-modified values $\tilde{K}_{\alpha\beta}^{ij}$ differ from the $K_{\alpha\beta}^{ij}$ by an inhomogeneous term $\kappa_{\alpha\beta}^{ij}/\tilde{\Delta}_{12}\tilde{\Delta}_{23}\tilde{\Delta}_{31}\tilde{\Delta}_{ij}$, as well as by a scale factor. The $\kappa_{\alpha\beta}^{ij}$ clearly vanish in the limit $a \rightarrow 0$, as they should.

In order to complete our specification of the $\tilde{K}_{\alpha\beta}^{ij}$ in terms of the vacuum $K_{\alpha\beta}^{ij}$, it is necessary to give $|H_{\alpha\beta}|^2$ and $\text{Re}(H_{\alpha\beta} R_{\alpha\beta}^*)$ in Eq. (31) in these terms. The former is given by [2]

$$-\sum_{(ij)}^{\text{cyclic}} \tilde{\Delta}_{ij}^2 \tilde{K}_{\alpha\beta}^{ij} = |\tilde{H}_{\alpha\beta}|^2 = |H_{\alpha\beta}|^2 = -\sum_{(ij)}^{\text{cyclic}} \Delta_{ij}^2 K_{\alpha\beta}^{ij} \quad (\alpha \neq \beta), \quad (32)$$

while the latter may be derived from Eqs. (22) and (23) as discussed in Appendix C

$$\text{Re}(H_{\alpha\beta} R_{\alpha\beta}^*) = -\frac{1}{3} \sum_{i,j,k}^{\text{cyclic}} \Delta_{ij}^2 (\Delta_{ik} + \Delta_{jk}) K_{\alpha\beta}^{ij} \quad (\alpha \neq \beta). \quad (33)$$

Eqs. (30)–(33) are the main result of this Letter, which, along with Eqs. (25) and (26), allow the $\tilde{K}_{\alpha\beta}^{ij}$ to be calculated in terms of the vacuum parameters Δ_{ij} and $K_{\alpha\beta}^{ij}$, the $\tilde{\Delta}_{ij}$ and the matter parameter, a , thereby avoiding the need to find the matter-modified MNS mixing matrix. These formulae are, furthermore, all convention-independent.

In Fig. 1 we plot the nine $\tilde{K}_{\alpha\beta}^{ij}$, $\alpha \neq \beta$, $i \neq j$, for neutrinos and antineutrinos traversing the Earth's mantle, as functions of the neutrino energy, calculated using Eqs. (30)–(33). We take $\Delta m_{12}^2 = 5.0 \times 10^{-5} \text{ eV}^2$, $\Delta m_{13}^2 = 2.5 \times 10^{-3} \text{ eV}^2$, $\sin \theta_{12} = 0.58$, $\sin \theta_{23} = 0.71$, $\sin \theta_{13} = 0.05$ and $\delta = \pi/4$. The similarity of the first and second

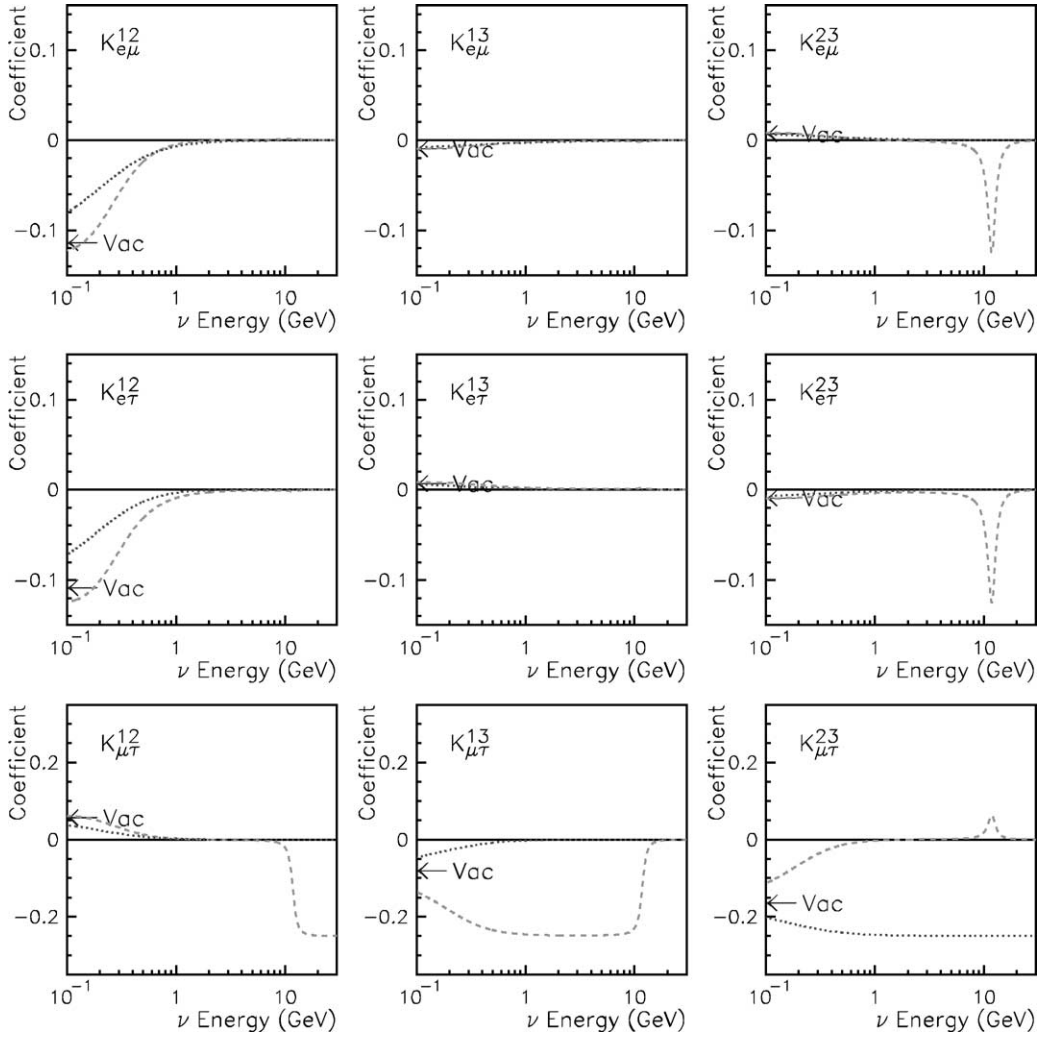


Fig. 1. The nine coefficients $\tilde{K}_{\alpha\beta}^{ij}$, $\alpha \neq \beta$, $i \neq j$, for neutrinos (dashed lines) and antineutrinos (dotted lines) traversing the Earth's mantle, as functions of the neutrino energy. We take $\Delta m_{12}^2 = 5.0 \times 10^{-5} \text{ eV}^2$, $\Delta m_{13}^2 = 2.5 \times 10^{-3} \text{ eV}^2$, $\sin \theta_{12} = 0.58$, $\sin \theta_{23} = 0.71$, $\sin \theta_{13} = 0.05$ and $\delta = \pi/4$. The corresponding vacuum values are indicated by an arrow.

rows reflects the approximate $\nu_\mu - \nu_\tau$ symmetry [13] of the vacuum MNS matrix. Except near a matter-resonance, the smallness of the values in the upper-right 2×2 sub-block reflects the smallness of $|U_{e3}|$.

From Eq. (2), we can write the exact expression for the appearance probabilities in uniform density matter

$$\begin{aligned}
 \tilde{P}(v_\alpha \rightarrow v_\beta) &= |\tilde{\mathcal{A}}_{\alpha\beta}|^2 \\
 &= -4 \sum_{i < j} \frac{\Delta_{12} \Delta_{23} \Delta_{31} \Delta_{ij} K_{\alpha\beta}^{ij} + \kappa_{\alpha\beta}^{ij}}{\tilde{\Delta}_{12} \tilde{\Delta}_{23} \tilde{\Delta}_{31} \tilde{\Delta}_{ij}} \sin^2(\tilde{\Delta}_{ij} L/2) \\
 &\quad + 8 \frac{\Delta_{12} \Delta_{23} \Delta_{31}}{\tilde{\Delta}_{12} \tilde{\Delta}_{23} \tilde{\Delta}_{31}} J \sin(\tilde{\Delta}_{12} L/2) \sin(\tilde{\Delta}_{23} L/2) \sin(\tilde{\Delta}_{31} L/2)
 \end{aligned} \tag{34}$$

($\alpha \neq \beta$), where κ_{ij} is given by Eq. (31) in terms of vacuum quantities and the \tilde{A}_γ^k and \tilde{B}_γ^k , which in turn depend only on a and the $\tilde{\Delta}_{ij}$. Although we have calculated only appearance probabilities in Eq. (34), survival probabilities are calculable in similar terms directly from them using unitarity. This completes our derivation of the exact matter-covariant formulation of neutrino oscillation probabilities in uniform density matter, in terms of vacuum oscillation parameters and the matter density.

Our formulae also hold for antineutrino oscillations. For antineutrinos, the signs of J and of a are opposite to those for neutrinos. These sign changes alter the effective Hamiltonian, and the values of the eigenvalue-differences, $\tilde{\Delta}_{ij}$, are changed in our formulae. In Fig. 1 the consequent differences between the antineutrino and neutrino oscillation coefficients are clearly seen. We discuss this more in Appendix A.

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Appendix A. Matter-effects for antineutrino vs neutrino

Since matter is inherently CP -violating, it affects antineutrinos differently than neutrinos. This can be summarised by noting that the sign of a is negative for antineutrinos, positive for neutrinos. Thus, any matter invariant is also a neutrino–antineutrino invariant, while any matter dependence breaks the neutrino–antineutrino symmetry. The explicit breaking of this symmetry is readily obtained from some of our derived formulae. From Eq. (21) we get

$$\begin{aligned} [\tilde{\Delta}_{ij} \tilde{\Delta}_{ik} \tilde{X}_{\alpha\beta}^i]_v - [\tilde{\Delta}_{ij} \tilde{\Delta}_{ik} \tilde{X}_{\alpha\beta}^i]_{\bar{v}} &= ([\tilde{\Delta}_i]_v - av_\gamma - [\tilde{\Delta}_i]_{\bar{v}} - av_\gamma) H_{\alpha\beta} \quad (\alpha \neq \beta, j \neq k \neq i) \\ &= \left\{ \frac{1}{3} ([\tilde{\Delta}_{ij} + \tilde{\Delta}_{ik}]_v - [\tilde{\Delta}_{ij} + \tilde{\Delta}_{ik}]_{\bar{v}}) - 2av_\gamma \right\} H_{\alpha\beta}. \end{aligned} \quad (\text{A.1})$$

From Eq. (27) we get

$$[\tilde{\Delta}_{12} \tilde{\Delta}_{23} \tilde{\Delta}_{31} \tilde{J}]_v = -[\tilde{\Delta}_{12} \tilde{\Delta}_{23} \tilde{\Delta}_{31} \tilde{J}]_{\bar{v}}. \quad (\text{A.2})$$

Similar but longer expressions may be written down for

$$[\tilde{\Delta}_{12} \tilde{\Delta}_{23} \tilde{\Delta}_{31} \tilde{\Delta}_{ij} \tilde{K}_{\alpha\beta}^{ij}]_v - [\tilde{\Delta}_{12} \tilde{\Delta}_{23} \tilde{\Delta}_{31} \tilde{\Delta}_{ij} \tilde{K}_{\alpha\beta}^{ij}]_{\bar{v}} = [\kappa_{\alpha\beta}^{ij}]_v - [\kappa_{\alpha\beta}^{ij}]_{\bar{v}} \quad (\text{A.3})$$

using Eqs. (30) and (31) (the terms quadratic in a cancel) and for

$$[\tilde{\Delta}_{ij} \tilde{\Delta}_{ik} |\tilde{U}_{\alpha i}|^2]_v - [\tilde{\Delta}_{ij} \tilde{\Delta}_{ik} |\tilde{U}_{\alpha i}|^2]_{\bar{v}} \quad (\text{A.4})$$

using Eq. (B.3).

Appendix B. Matter-vacuum relation for $|\tilde{U}_{\alpha i}|^2$

The starting point is the expansion of the diagonal element of Eq. (11)

$$\tilde{\Delta}_{ij} \tilde{\Delta}_{ik} \tilde{X}_{\alpha\alpha}^i = \sum_{\sigma=e,\mu,\tau} (\tilde{H} - \tilde{\lambda}_j)_{\alpha\sigma} (\tilde{H} - \tilde{\lambda}_k)_{\sigma\alpha} \quad (j \neq k \neq i) \quad (\text{B.1})$$

$$= (\tilde{H}_{\alpha\alpha} - \tilde{\lambda}_j)(\tilde{H}_{\alpha\alpha} - \tilde{\lambda}_k) + |H_{\alpha\beta}|^2 + |H_{\alpha\gamma}|^2 \quad (\alpha \neq \beta \neq \gamma). \quad (\text{B.2})$$

Inputting Eq. (17) for $(\tilde{H}_{\alpha\alpha} - \tilde{\lambda}_j)$ then isolates the matter dependence. The result is

$$\tilde{\Delta}_{ij} \tilde{\Delta}_{ik} |\tilde{U}_{\alpha i}|^2 = \Delta_{ij} \Delta_{ik} |U_{\alpha i}|^2 + (\tilde{A}_{\alpha}^i - A_{\alpha}^i) - (\tilde{B}_{\alpha}^i - B_{\alpha}^i) \left(H_{\alpha\alpha} - \frac{1}{3} \mathcal{T} \right), \quad (\text{B.3})$$

where the coefficients are the same as those in $\kappa_{\alpha\beta}^{ij}$, Eq. (31). The factor $(H_{\alpha\alpha} - \frac{1}{3} \mathcal{T})$ can also be put in terms of our vacuum observables using the appropriate diagonal component of the matrix equation

$$\left(H - \frac{1}{3} \mathcal{T} \right) = \frac{1}{3} \sum_{i,j,k}^{\text{cyclic}} (\Delta_{ij} + \Delta_{ik}) X^i \quad (\text{B.4})$$

which is obtained by summing Eq. (15) over k (taking the vacuum case).

Appendix C. Matter invariants in terms of $K_{\alpha\beta}^{ij}$ and $|U_{\alpha i}|^2$

The derivation of Eq. (33) follows straightforwardly from Eqs. (22) and (23), utilising the useful relations between the $K_{\alpha\beta}^{ij}$ and the $|X_{\alpha\beta}^i|^2$

$$|X_{\alpha\beta}^i|^2 = -K_{\alpha\beta}^{ij} - K_{\alpha\beta}^{ik} \quad \forall \alpha \neq \beta \quad (i \neq j \neq k) \quad (\text{C.1})$$

and

$$K_{\alpha\beta}^{ij} = \frac{1}{2} (|X_{\alpha\beta}^k|^2 - |X_{\alpha\beta}^i|^2 - |X_{\alpha\beta}^j|^2) \quad \forall \alpha \neq \beta \quad (i \neq j \neq k) \quad (\text{C.2})$$

which are themselves easily derived from the unitary condition $\sum_i X_{\alpha\beta}^i = 0$.

These can also be used to find the matter-invariant

$$|R_{\alpha\beta}|^2 = -\frac{1}{9} \sum_{i,j,k}^{\text{cyclic}} \Delta_{ij}^2 (\Delta_{ik} + \Delta_{jk})^2 K_{\alpha\beta}^{ij} \quad (\text{C.3})$$

which, in addition to Eqs. (32) and (33), completes the set of matter-invariants used in Eq. (24) (we did not need to specify these in the main text, because we used the substitution of $K_{\alpha\beta}^{ij}$ instead to find Eqs. (30) and (31)).

We can also use Eqs. (C.1) and (C.2) to find the set of three matter invariants used in Eq. (24), instead in terms of $|X_{\alpha\beta}^i|^2 = |U_{\alpha i}|^2 |U_{\beta i}|^2$

$$|H_{\alpha\beta}|^2 = \sum_{i,j,k}^{\text{cyclic}} \Delta_{ij} \Delta_{ik} |X_{\alpha\beta}^i|^2, \quad (\text{C.4})$$

$$\text{Re}(H_{\alpha\beta} R_{\alpha\beta}^*) = \frac{1}{6} \sum_{i,j,k}^{\text{cyclic}} \Delta_{ij} \Delta_{ik} (\Delta_{ik} + \Delta_{jk}) |X_{\alpha\beta}^i|^2, \quad (\text{C.5})$$

$$|R_{\alpha\beta}|^2 = \frac{2}{9} \sum_{i,j,k}^{\text{cyclic}} \Delta_{ij} \Delta_{ik} (\Delta_{ij} \Delta_{ik} - 2\Delta_{jk}^2) |X_{\alpha\beta}^i|^2. \quad (\text{C.6})$$

Substituting these into Eq. (24) yields a formula for the $\tilde{K}_{\alpha\beta}^{ij}$ which depends only on the moduli-squared, $|U_{\alpha i}|^2$, of elements of the vacuum MNS matrix (in addition to the $\tilde{\Delta}_{ij}$ and the matter-density, a). This alternative formulation may be considered more convenient to use than the one using the vacuum $K_{\alpha\beta}^{ij}$.

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